

Who's to Blame for Survey Instability: Respondents with Nonexistent Preferences or Researchers with Flawed Measures?¹

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¹Slides, paper, data: [GaryKing.org/instability](https://garyking.org/instability)

²Joint work with [Libby Jenke](#)

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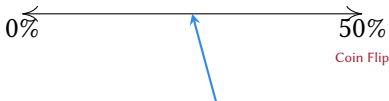
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- **What % answer differently?** 0%  50%
Coin Flip

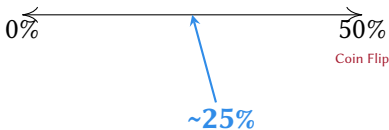
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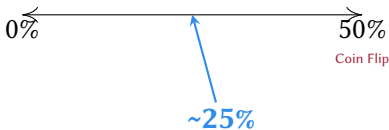
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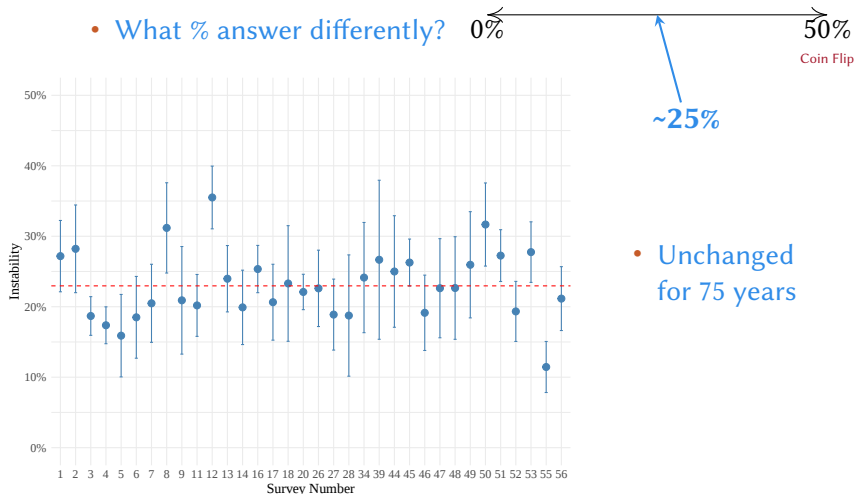
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- **Unchanged**
for 75 years

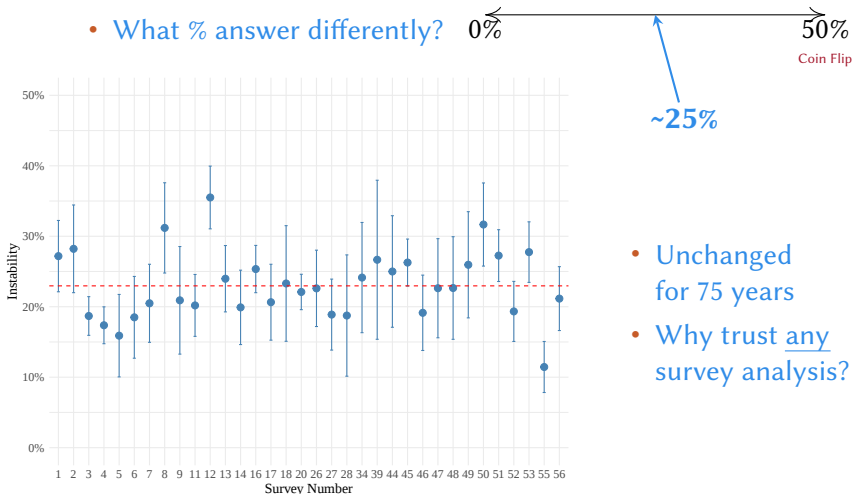
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- Unchanged for 75 years
- Why trust any survey analysis?

Research Strategy

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A Binary Choice Conjoint Question

(Ono and Burden, 2018)

Please carefully review the two potential candidates running for election to the U.S. House of Representatives, detailed below.

	Candidate 0	Candidate 1
Race/Ethnicity	Hispanic	Asian American
Age	52	60
Favorability rating among the public	70%	34%
Position on immigrants	Favors giving citizenship or guest worker status to undocumented immigrants	Opposes giving citizenship or guest worker status to undocumented immigrants
Party affiliation	Republican Party	Democratic Party
Position on abortion	Abortion is not a private matter (pro-life)	Abortion is a private matter (pro-choice)
Position on government deficit	Wants to reduce the deficit through tax increase	Wants to reduce the deficit through tax increase
Salient personal characteristics	Really cares about people like you	Really cares about people like you
Position on national security	Wants to cut military budget and keep the U.S. out of war	Wants to maintain strong defense and increase U.S. influence
Gender	Female	Female
Policy area of expertise	Education	Foreign policy
Family	Single (divorced)	Married (no child)
Experience in public office	12 years	4 years

If you had to choose between them, which of these candidates would you vote to be a member of the U.S. House of Representatives?

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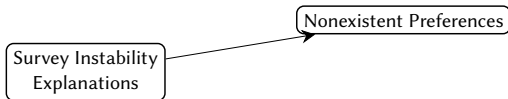
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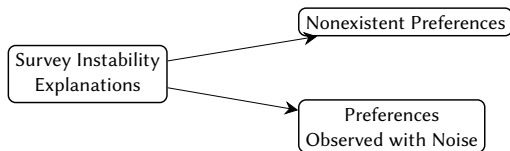
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Survey Instability
Explanations

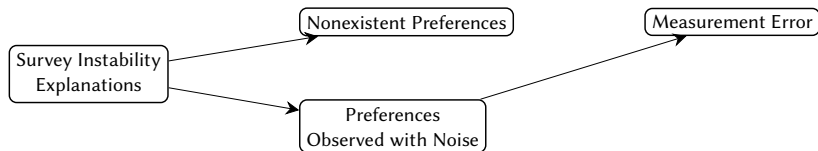
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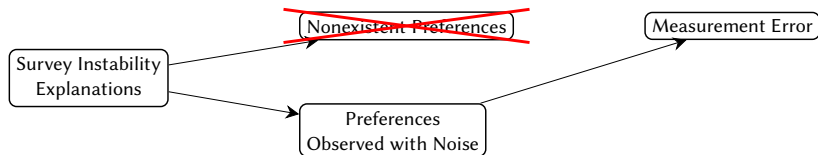
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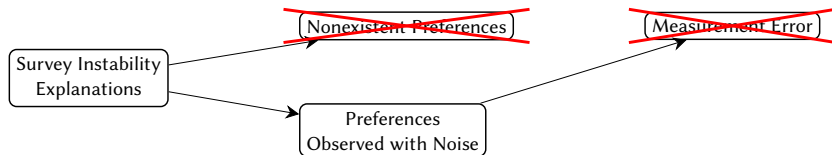
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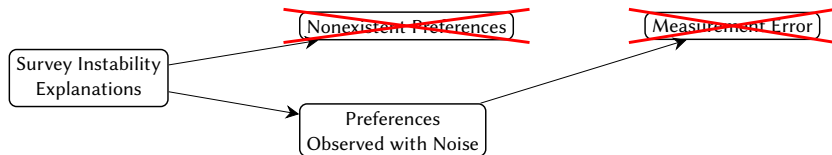
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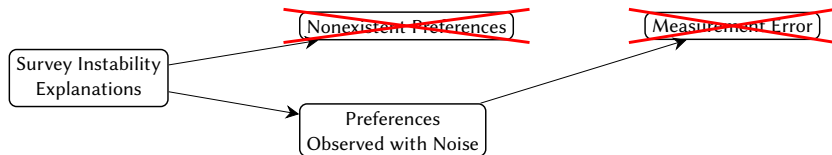


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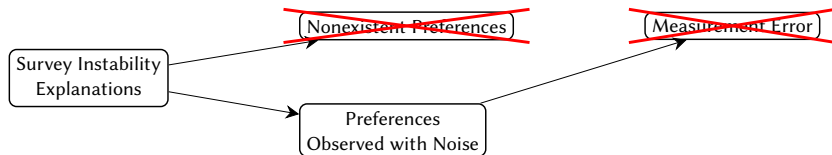
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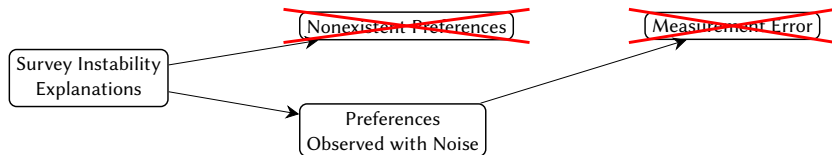
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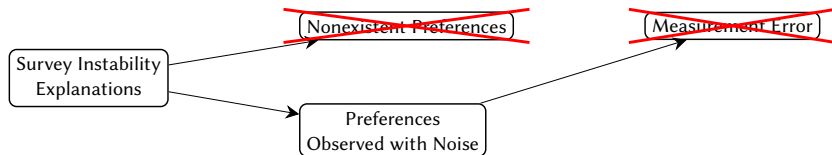
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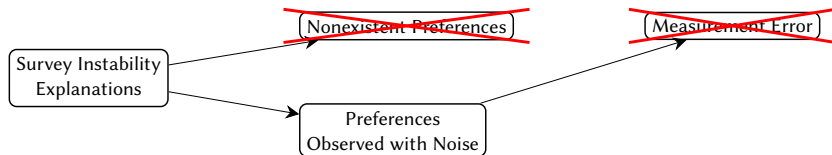
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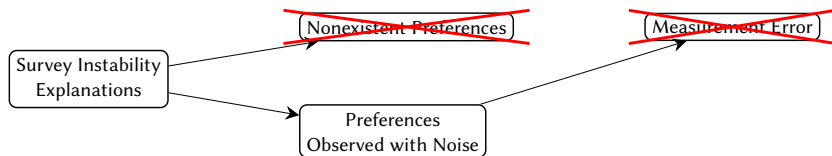
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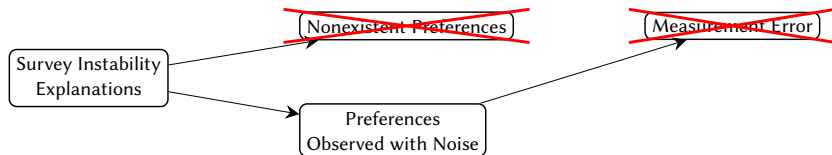
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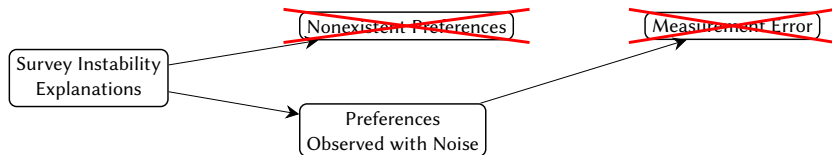


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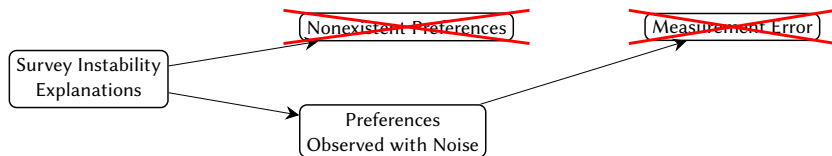
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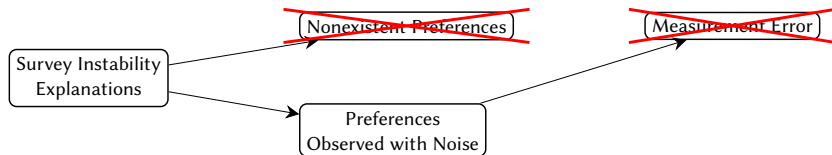
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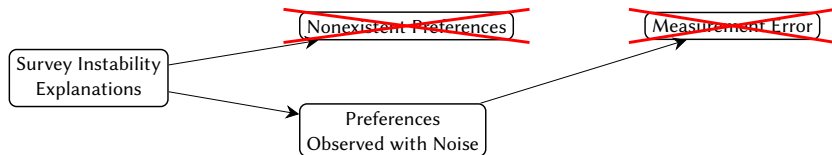
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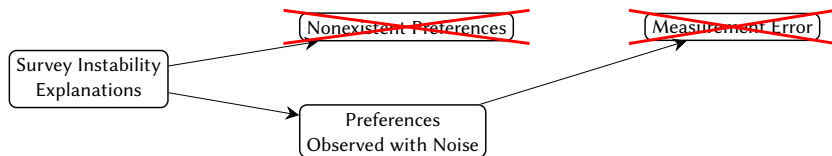
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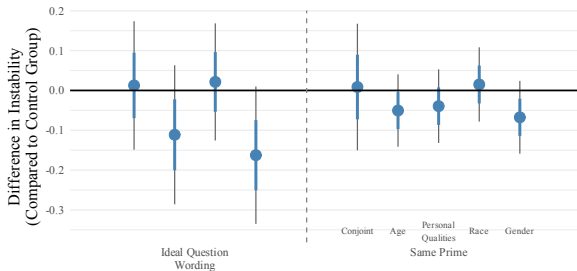
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Survey Instability

Explains

Reducing Bias Doesn't Reduce Instability



- reduce bias, not instability



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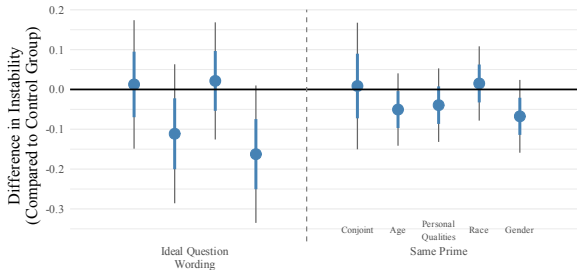
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- Left: 2008 ANES question wording changes

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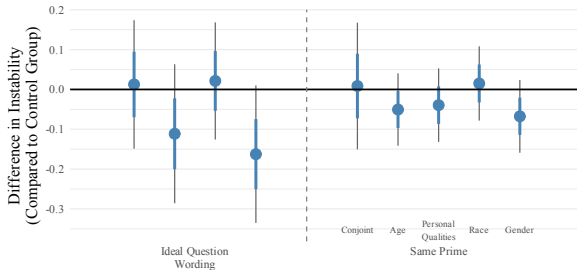
~~Nonexistent Preferences~~

~~Measurement Error~~

Survey Instability

Explains

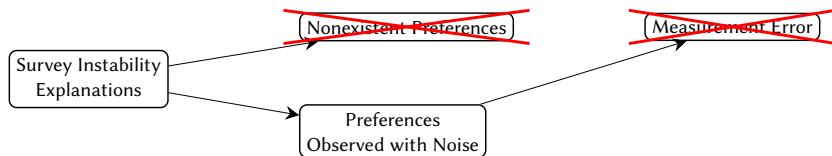
Reducing Bias Doesn't Reduce Instability



- Left: 2008 ANES question wording changes
- Right: different (priming) “considerations”
- reduce bias, not instability



What We Know



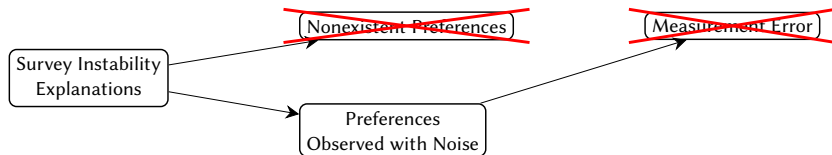
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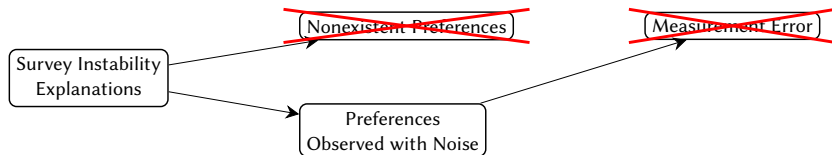
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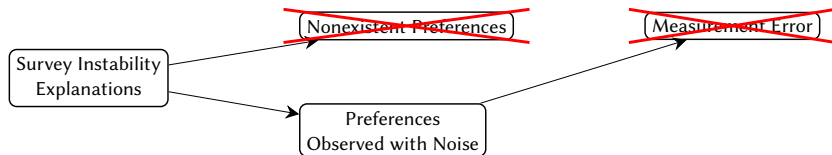
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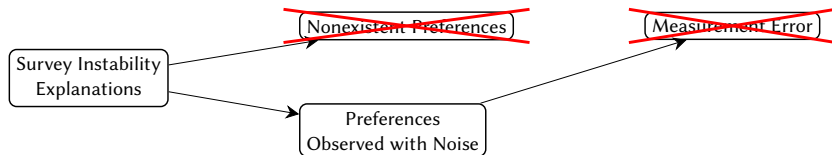
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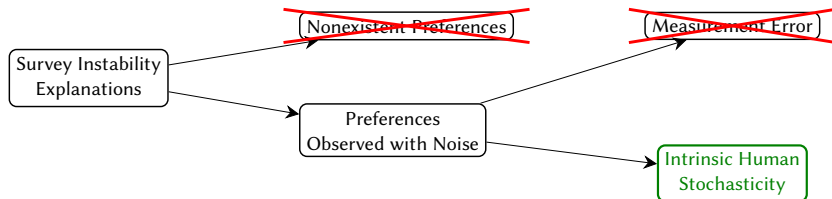
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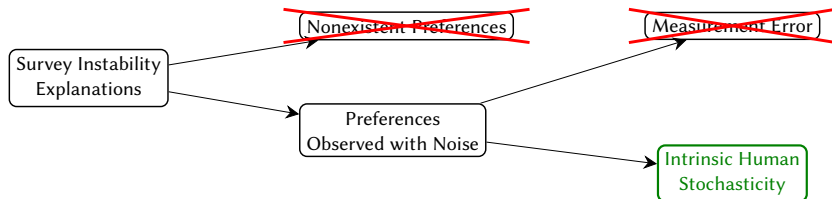
What We Show



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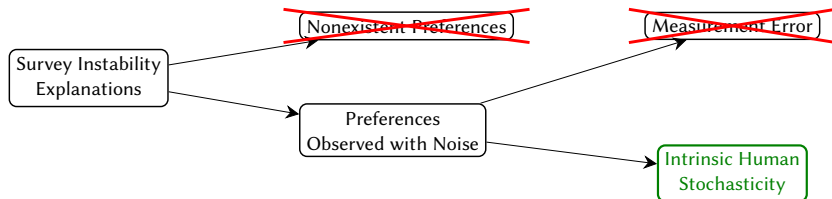


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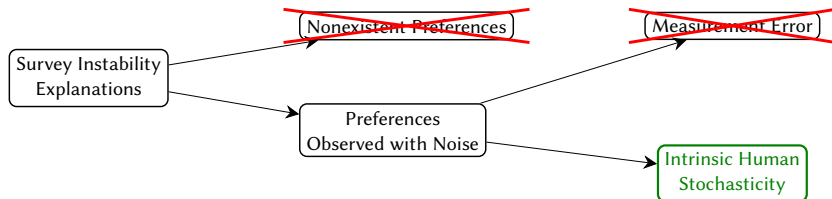
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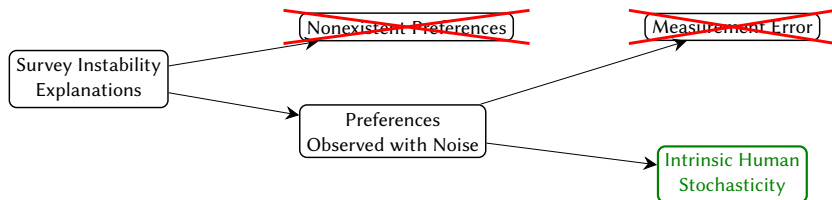
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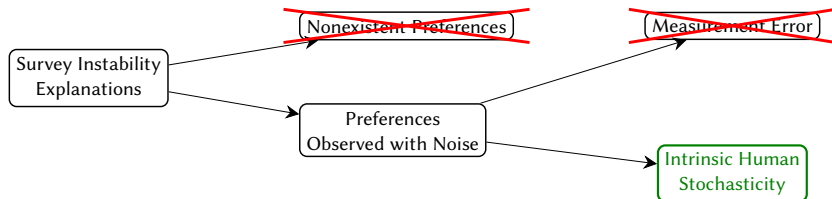
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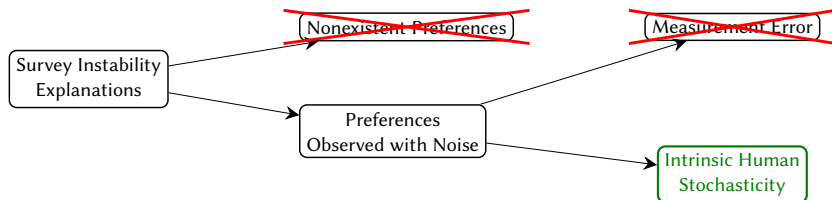
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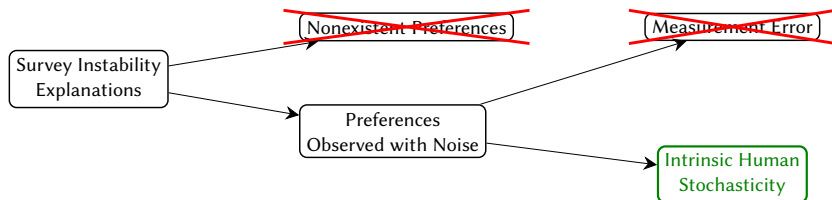
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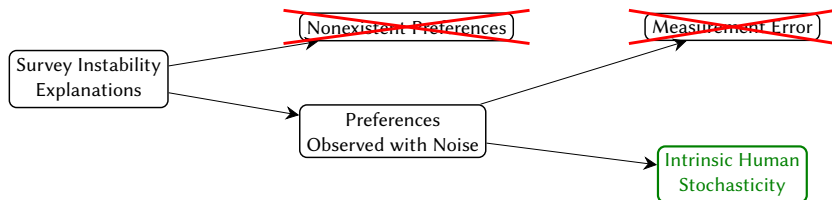
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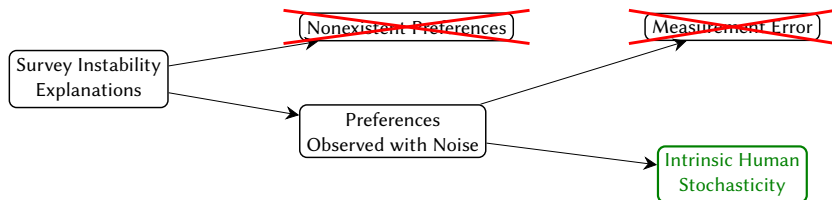
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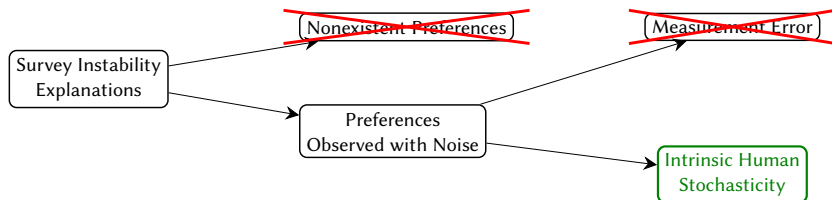
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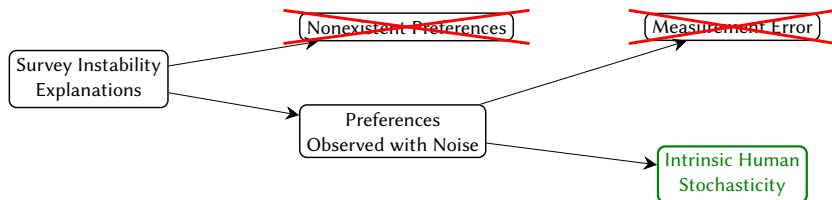
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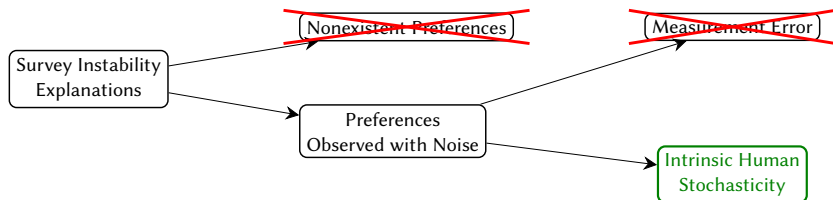
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⇒ **The creatures we study**: variables, not constants

Implications of Stochasticity in Instability Precursors

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Goal: Revealing where humans produce stochasticity

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**Survey
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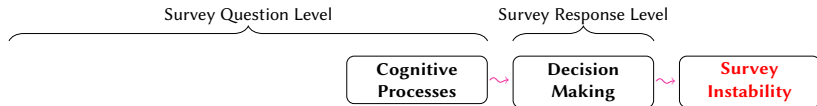
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Survey Response Level



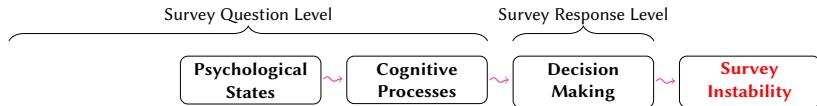
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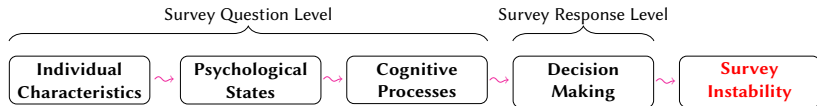
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- How many Party A candidates will you choose?

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- Cost: $c: \mathcal{X} \times \mathcal{Y} \rightarrow \mathbb{R}$ (unknown)

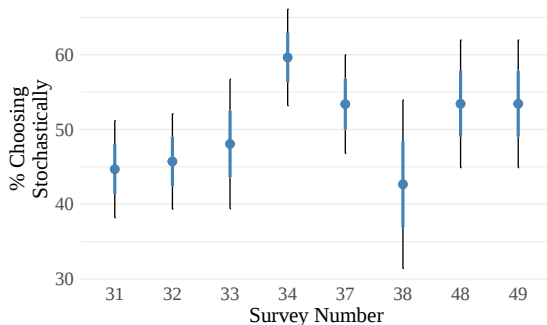
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Decision Making: Highly Stochastic!



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- **How Beliefs become Choices**

$$C_t \begin{cases} = \mathbf{1}(\pi > 0.5) & \text{Expected Utility (deterministic)} & E(D) = 0 \\ = \mathbf{1}(\pi + \eta_t > 0.5) & \text{Random Utility (stochastic, } \eta_t \sim F) & E(D) > 0 \\ \sim \text{Bernoulli}(\pi) & \text{Probability Matching (stochastic)} & E(D) > 0 \end{cases}$$

- **Literature:** Probability matching! (humans, bees, fish, pigeons...)
- **Experiments:**
 - **Tell (teach) respondents their beliefs (π)**
 - **Minimize:** η , uncertainty, indifference
 - **Result:** **still** 40-60% stochastic! 🙌

Stochasticity Sources: Decision Making

- Preference: $\rho \in \{0, 1\}$ (unknown)
- Belief: $\pi = \Pr(\rho = 1)$ (known)
- Choices: C_1, C_2 (observed). [Instability: $D = \mathbf{1}(C_1 \neq C_2)$]
- How Beliefs become Choices

$$C_t \begin{cases} = \mathbf{1}(\pi > 0.5) & \text{Expected Utility (deterministic)} & E(D) = 0 \\ = \mathbf{1}(\pi + \eta_t > 0.5) & \text{Random Utility (stochastic, } \eta_t \sim F) & E(D) > 0 \\ \sim \text{Bernoulli}(\pi) & \text{Probability Matching (stochastic)} & E(D) > 0 \end{cases}$$

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 - Result: still 40-60% stochastic!

$\Rightarrow$ Human Decision Making: Adds Stochasticity

Stochasticity Sources: Cognitive Processing

Stochasticity Sources: Cognitive Processing

**Survey
Instability**

Stochasticity Sources: Cognitive Processing

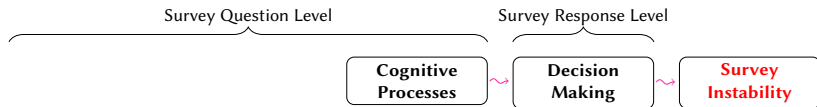
Survey Response Level

**Decision
Making**

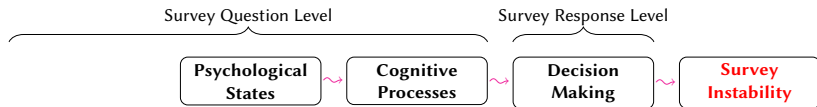


**Survey
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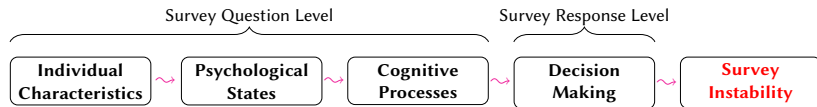
Stochasticity Sources: Cognitive Processing



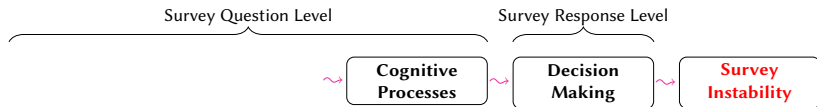
Stochasticity Sources: Cognitive Processing



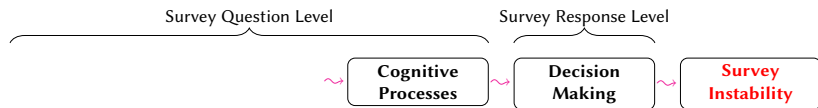
Stochasticity Sources: Cognitive Processing



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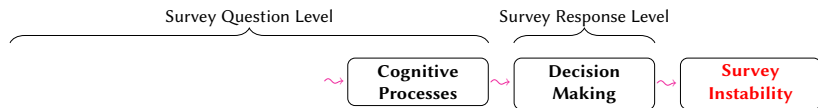


Stochasticity Sources: Cognitive Processing



What increases stochasticity (and thus instability)?

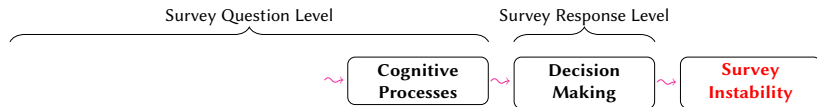
Stochasticity Sources: Cognitive Processing



What increases stochasticity (and thus instability)?

- **High cognitive complexity:** hard Qs; many As

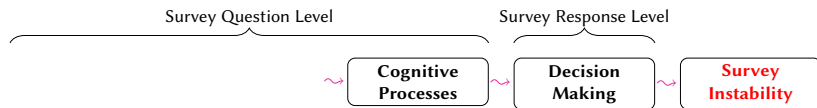
Stochasticity Sources: Cognitive Processing



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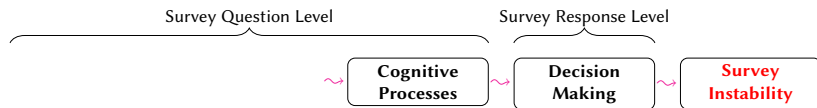
Stochasticity Sources: Cognitive Processing



What increases stochasticity (and thus instability)?

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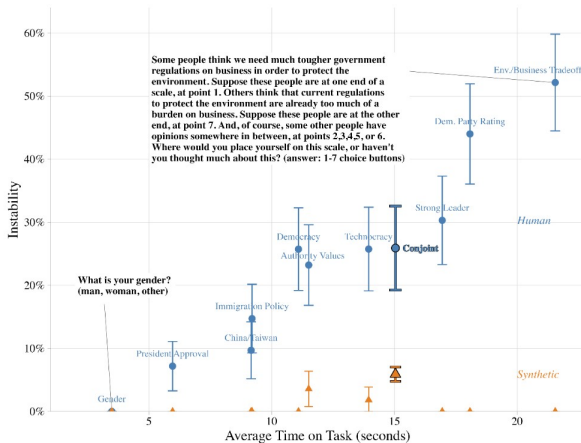
Stochasticity Sources: Cognitive Processing



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Stochasticity Sources: Cognitive Processing

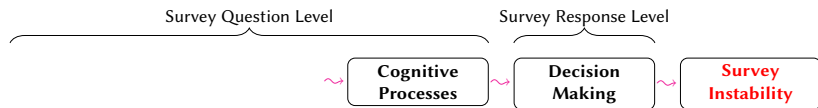


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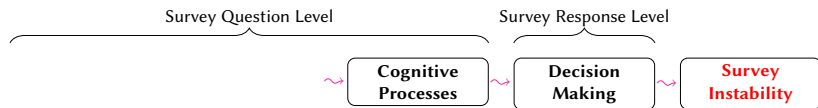
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Stochasticity Sources: Cognitive Processing

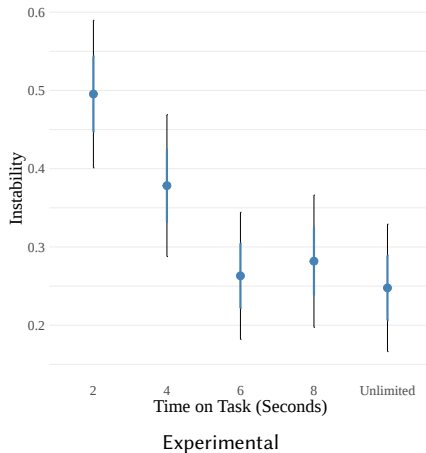
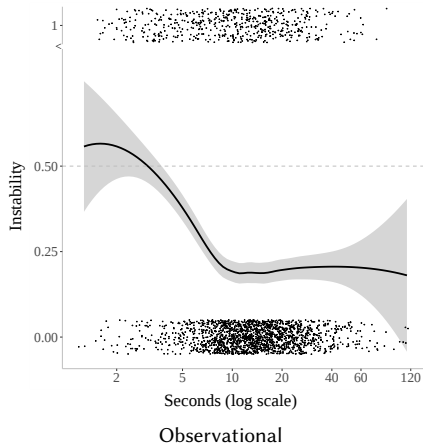


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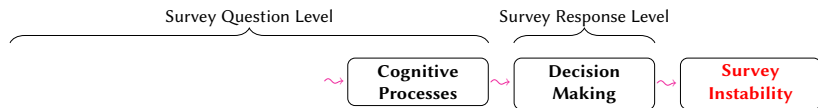
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- Low time-on-task: for any given question 📱
- Differential cognitive processing: Q_1 vs Q_2

Stochasticity Sources: Cognitive Processing

Limited time-on-task causes survey instability



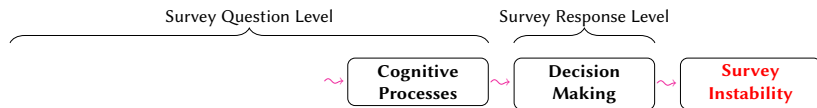
Stochasticity Sources: Cognitive Processing



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Stochasticity Sources: Cognitive Processing

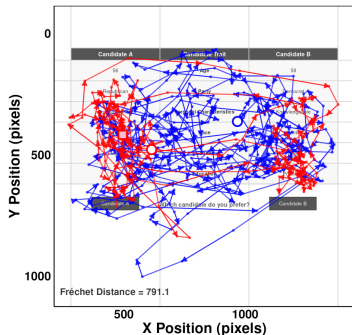


What increases stochasticity (and thus instability)?

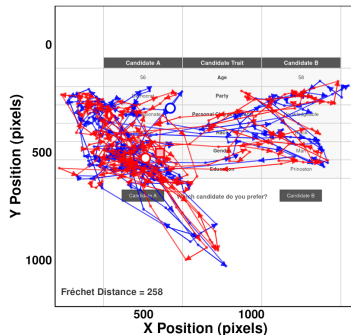
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Stochasticity Sources: Cognitive Processing

Stochasticity in Gaze Behavior

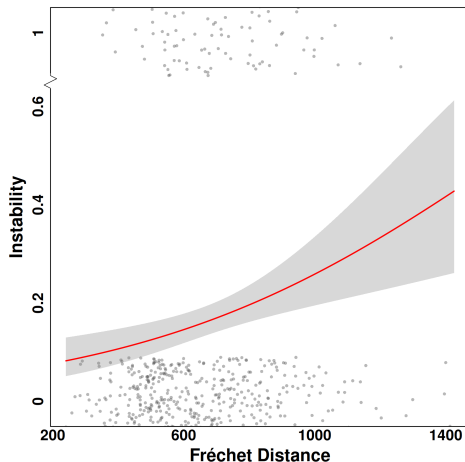


Large difference



Small difference

Stochastic Distance $\leadsto$ Survey Instability

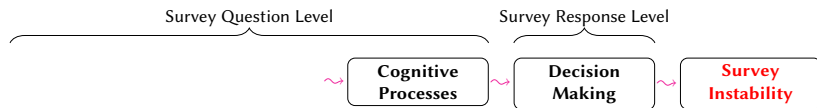


What

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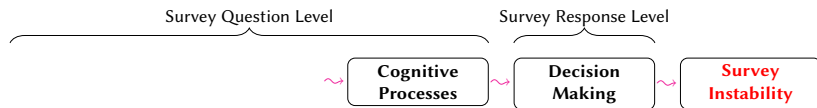
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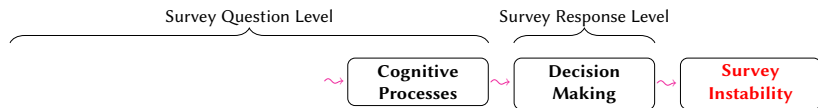
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⇒ Human Cognitive Processing: Adds Stochasticity

Stochasticity Sources: Psychological States

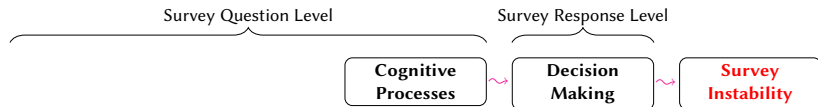
**Survey
Instability**

Stochasticity Sources: Psychological States

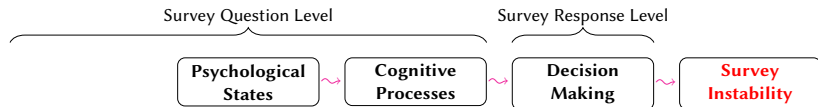
Survey Response Level



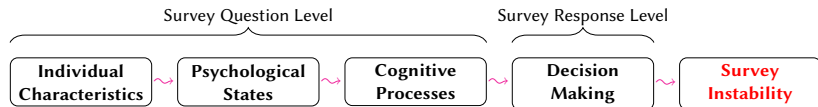
Stochasticity Sources: Psychological States



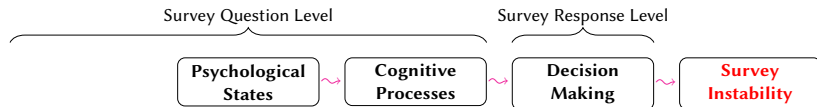
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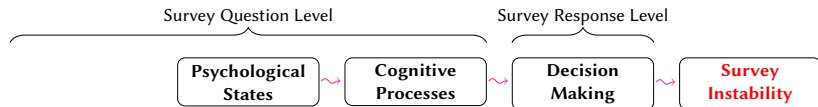
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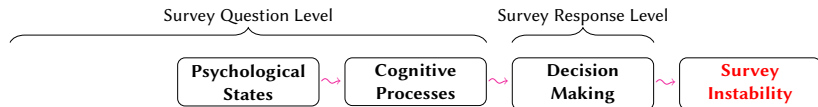
Stochasticity Sources: Psychological States



Q1: We would like to get a sense of your consumption of political news. To demonstrate that you've read this much, just go ahead and select both every day and never among the options below, no matter how often you watch political news.

Based on the text you read above, how often do you watch political news on TV? (1) Every day, (2) Once a week, (3) Once a month, (4) A few times a year, (5) Never

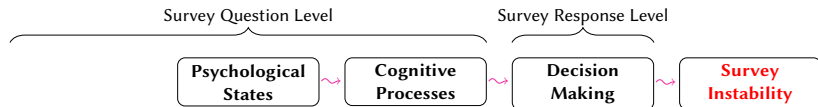
Stochasticity Sources: Psychological States



Q2: Which of these is a vegetable?

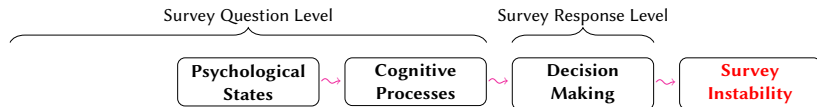
(1) Ham, (2) Ice cream, (3) Carrot, (4) Bread, (5) Apple

Stochasticity Sources: Psychological States



Attention Checks: Not Usable as a Filter

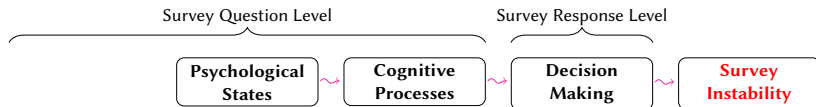
Stochasticity Sources: Psychological States



Attention Checks: Not Usable as a Filter

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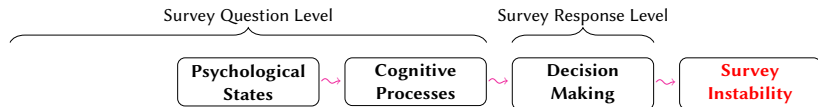
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- **The Good:** Predicts TOT & survey instability
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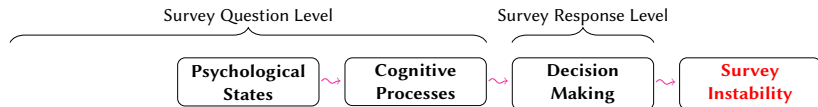
Stochasticity Sources: Psychological States



Attention Checks: Not Usable as a Filter

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- **The Ugly:** Unacceptable statistical properties

Stochasticity Sources: Psychological States



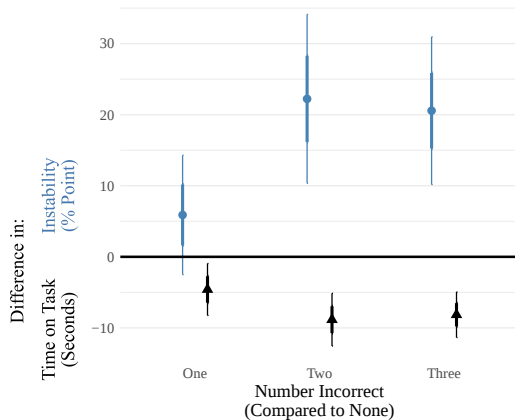
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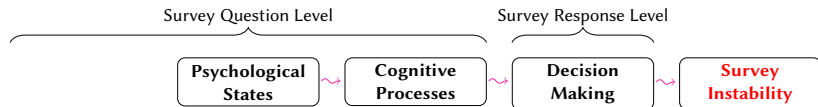


Stochasticity Sources: Psychological States

Attention Checks Predict TOT & Survey Instability



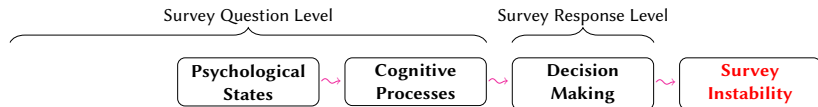
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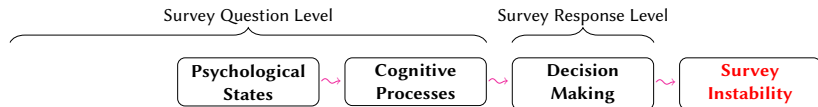
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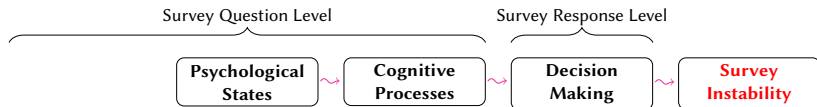
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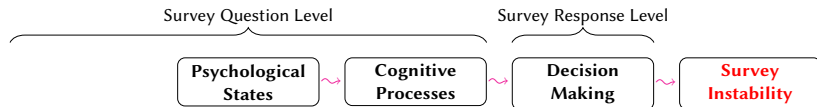
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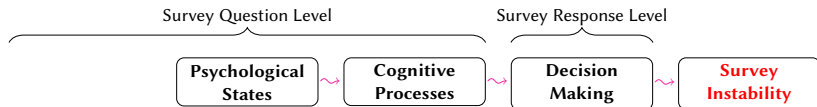
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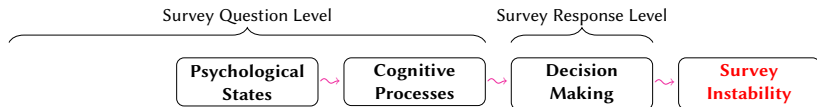
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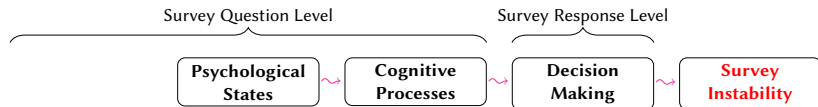
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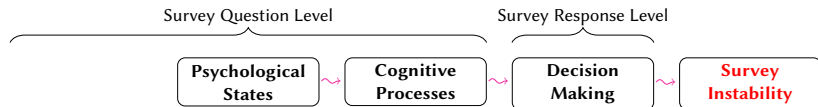
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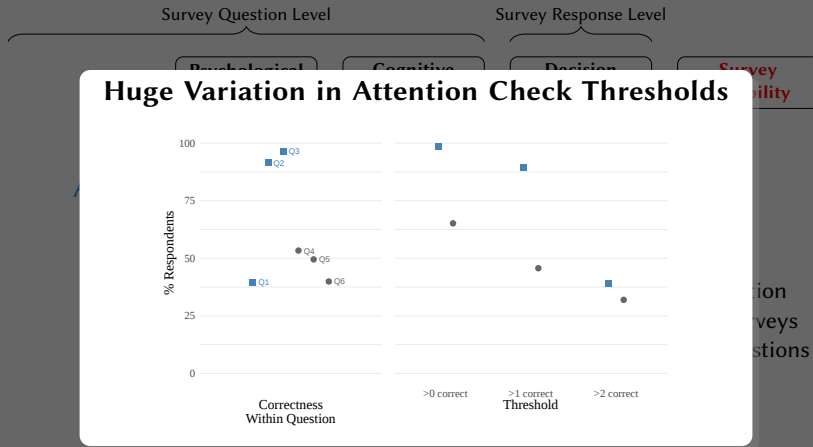
Stochasticity Sources: Psychological States



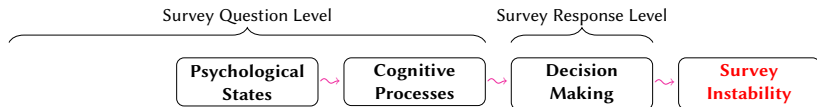
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Stochasticity Sources: Psychological States



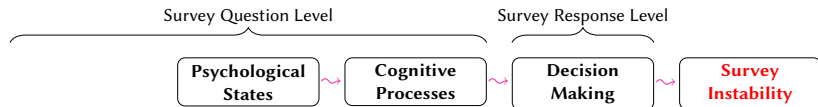
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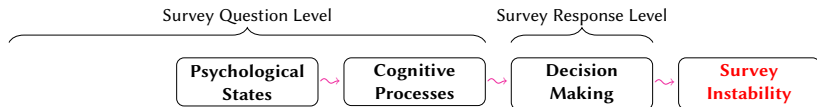
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Stochasticity Sources: Psychological States



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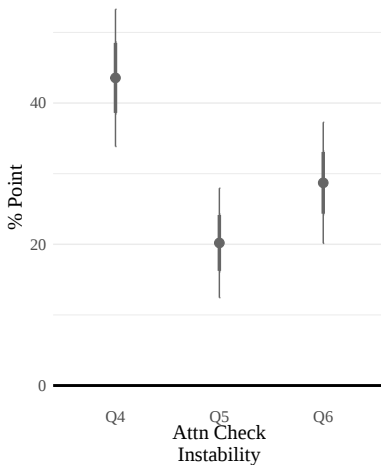
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Stochasticity Sources: Psychological States

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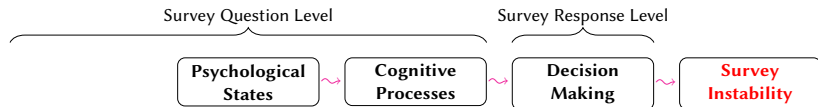
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Survey
Instability

cooperation
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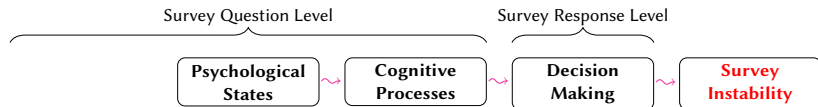
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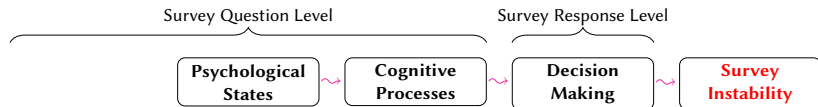
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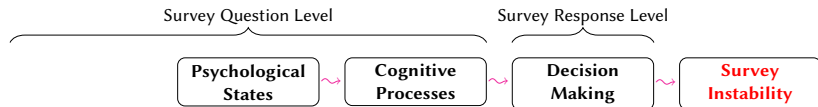
Stochasticity Sources: Psychological States



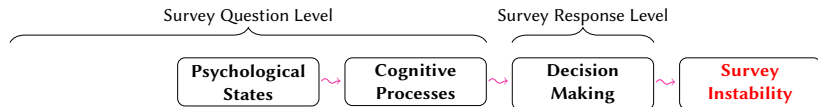
Everyone comes to a new task (like this survey) with things on their minds. How preoccupied were you, just before you started this survey, with thoughts or worries about your partner, job, health, children, friends, money, or other concerns?

(1) Very preoccupied, (2) Fairly preoccupied, (3) Slightly preoccupied, or (4) Not at all preoccupied.

Stochasticity Sources: Psychological States

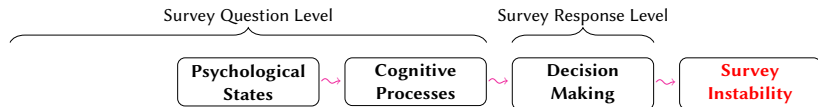


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Preoccupation: Great for Filtering (and Understanding)

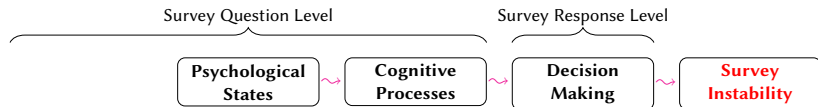
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- Reduces time-on-task; Increases survey instability

Stochasticity Sources: Psychological States

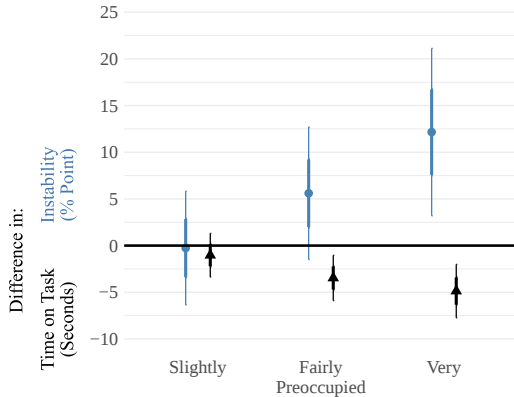


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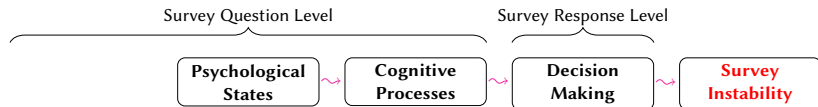
- Reduces time-on-task; Increases survey instability 📌

Stochasticity Sources: Psychological States

Preoccupation: reduces TOT & Survey Instability



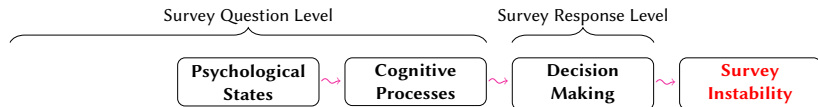
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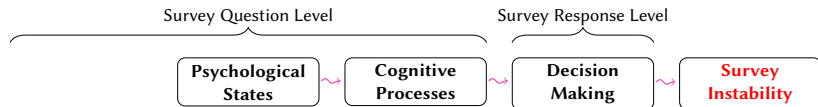
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- **Reduces** time-on-task; **Increases** survey instability
- Has **no effect** on other questions

Stochasticity Sources: Psychological States



Preoccupation: Great for Filtering (and Understanding)

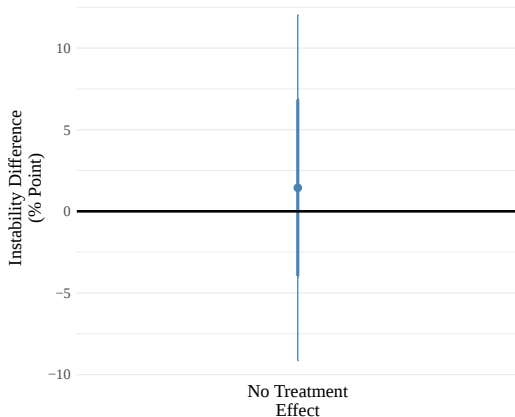
- **Reduces** time-on-task; **Increases** survey instability
- Has **no effect** on other questions 📱

Stochasticity Sources: Psychological States

Survey Question Level

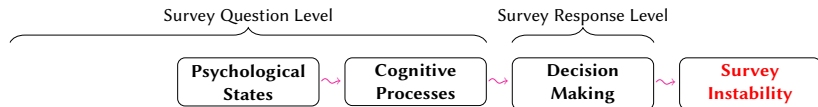
Survey Response Level

Preoccupation: no effect on other questions



vey
bility

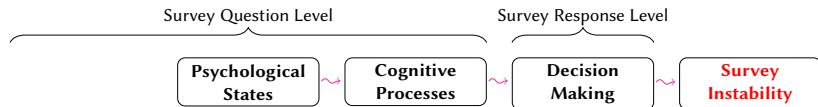
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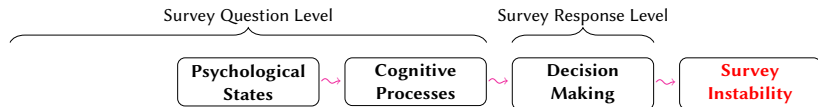
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Preoccupation: Great for Filtering (and Understanding)

- **Reduces** time-on-task; **Increases** survey instability
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- Highly **stable** ($6\% \ll 25\%$)

Stochasticity Sources: Psychological States



Preoccupation: Great for Filtering (and Understanding)

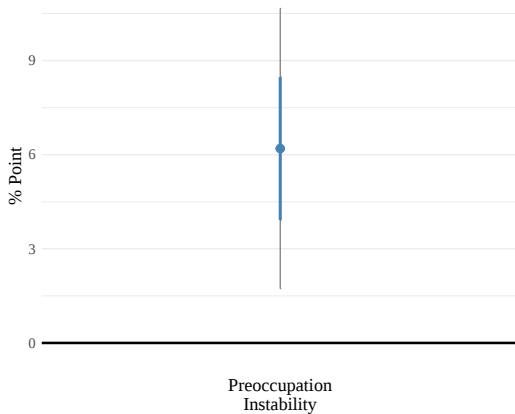
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Stochasticity Sources: Psychological States

Survey Question Level

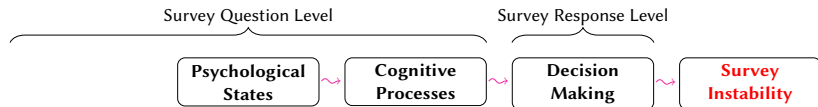
Survey Response Level

Preoccupation: highly stable



Key
ability

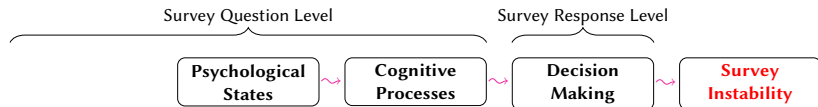
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⇒ **Human Psychology: Adds Stochasticity**

So Who's to Blame for Survey Instability?

So Who's to Blame for Survey Instability?

Explanations

So Who's to Blame for Survey Instability?

Explanations

Survey Instability

~25%

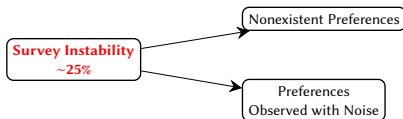
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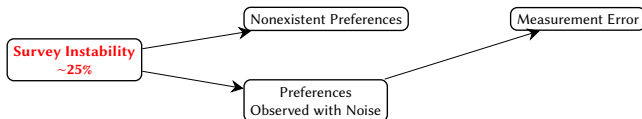
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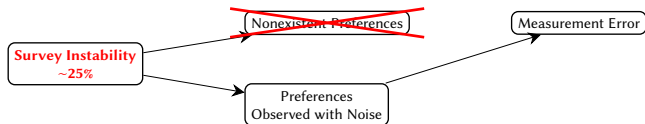
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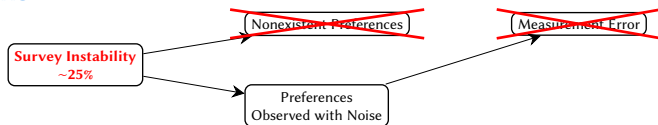
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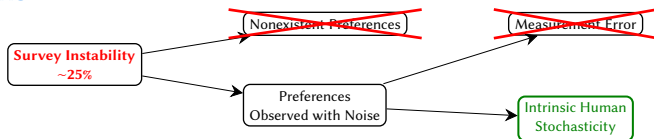
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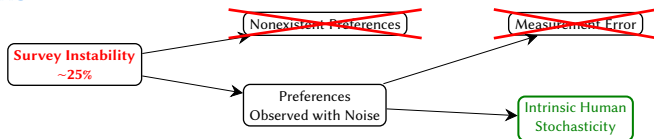
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So Who's to Blame for Survey Instability?

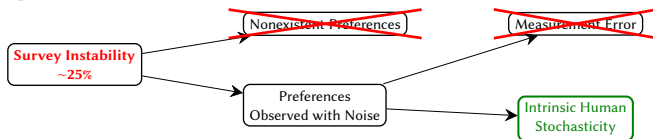
Explanations



Observable Implications

So Who's to Blame for Survey Instability?

Explanations

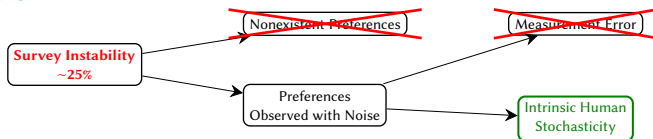


Observable Implications

Survey
Instability

So Who's to Blame for Survey Instability?

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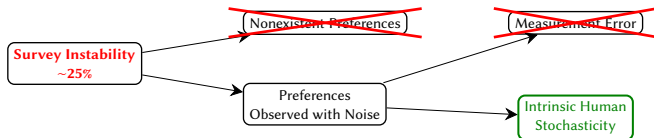


Observable Implications

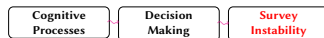


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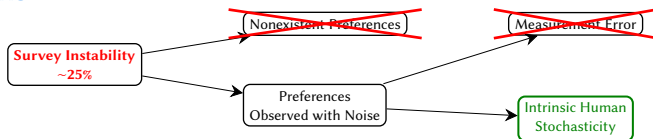


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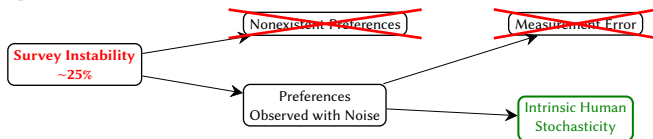


Observable Implications

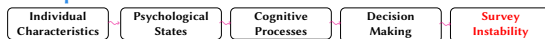


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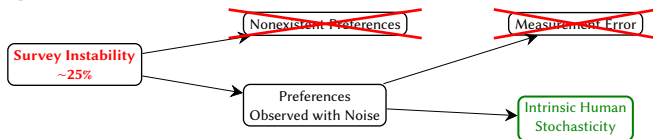


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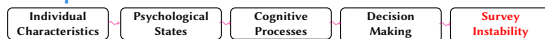


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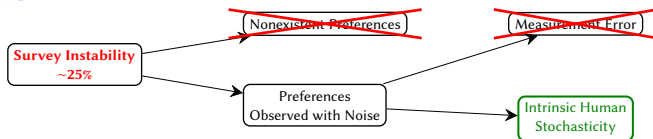
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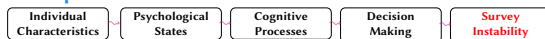
Practical Implications

So Who's to Blame for Survey Instability?

Explanations



Observable Implications

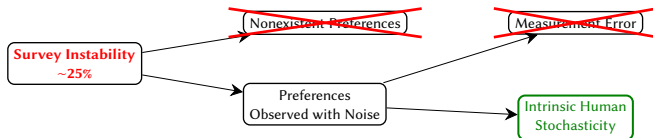


Practical Implications

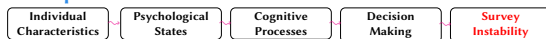
- Improve survey instruments:

So Who's to Blame for Survey Instability?

Explanations



Observable Implications

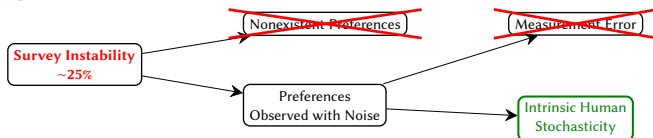


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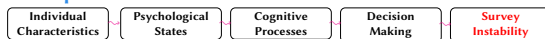
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So Who's to Blame for Survey Instability?

Explanations



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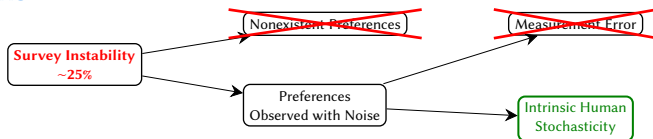


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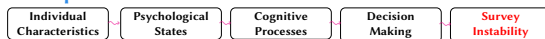
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So Who's to Blame for Survey Instability?

Explanations



Observable Implications

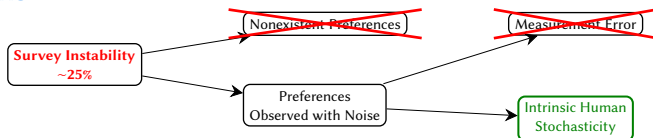


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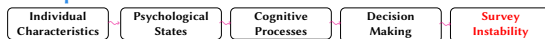
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So Who's to Blame for Survey Instability?

Explanations



Observable Implications

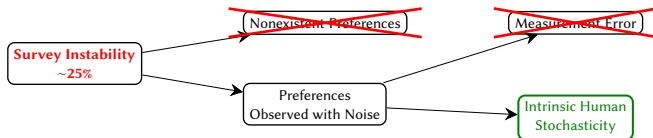


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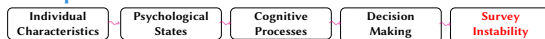
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So Who's to Blame for Survey Instability?

Explanations



Observable Implications

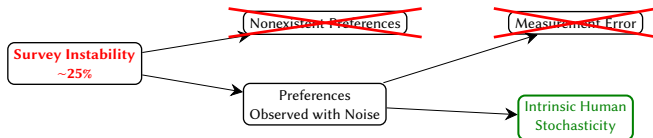


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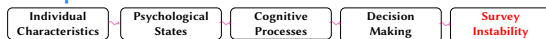
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Explanations



Observable Implications

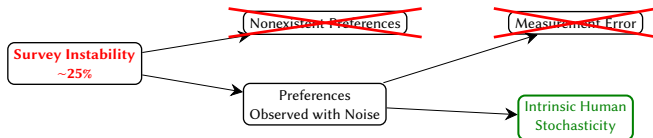


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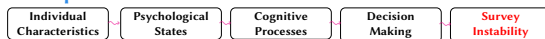
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Explanations



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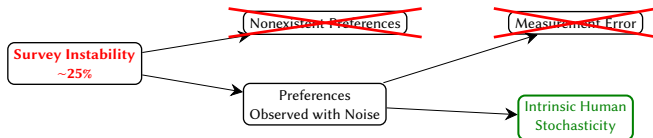


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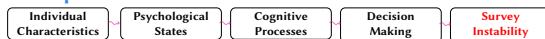
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 - selection (change QOI, oversample filtered groups, or impute)

So Who's to Blame for Survey Instability?

Explanations



Observable Implications

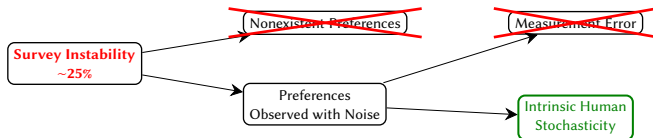


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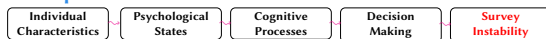
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 - selection (change QOI, oversample filtered groups, or impute)
 - remaining instability (correct statistically)

So Who's to Blame for Survey Instability?

Explanations



Observable Implications



Practical Implications

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