## Death by Survey

## Estimating Adult Mortality without Selection Bias

Emmanuela Gakidou Gary King

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- Vital (and sample) registration systems (death certificates, mostly in developed countries)


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- Demographic surveillance systems
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- Demographic surveillance systems
(a few isolated projects)
- Wild guesses
(typically reported in the media, usually citing other wild guesses as authorities)


## Who Uses Mortality Data?

- Medical researchers


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- Public health researchers


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- Political scientists:
- IR studies: the elite decision to go to war
- Should also study: more ultimate outcomes, like human misery or mortality
- The Big Problems: uncertainty and selection bias


## Uncertainty in Death Estimates from Major Sources

 The 1990s' Ten Most Deadly ConflictsPeople Killed (in 1000s)

| Country | Year | Low | High | Range |
| :--- | :--- | ---: | ---: | ---: |
| Rwanda | 1994 | 500 | 1,000 | 500 |
| Angola | $1992-4$ | 100 | 500 | 400 |
| Somalia | $1991-9$ | 48 | 300 | 252 |
| Bosnia | $1992-5$ | 35 | 250 | 215 |
| Liberia | $1991-6$ | 25 | 200 | 175 |
| Burundi | 1993 | 30 | 200 | 170 |
| Chechnya | $1994-6$ | 30 | 90 | 60 |
| Tajikistan | $1992-9$ | 20 | 120 | 100 |
| Algeria | $1992-9$ | 30 | 100 | 70 |
| Gulf war | $1990-1$ | 4.3 | 100 | 95.7 |

## Selection bias in Mortality from War Data

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Vital registration areas (cross-hatched): mostly low conflict

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Vital registration areas (cross-hatched): mostly low conflict No registration areas: much higher conflict

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- Spouses (Malaker 1986; Stanton, Noureddine and Hill 2000; Singh 2000; Timaeus 1991)


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- Household residents and others (Feeney 2001; Graham, Brass, and Snow, 1989)


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- Demographic and Health Surveys (70 countries)


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- Ellison Institute Surveys (\$100M, about to begin)


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- Joining Demography and Statistics (through political science)
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- Understanding the consequences of war and the causes of war


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| :---: | :---: |
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| 1 | 1 |
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\text { Mortality } & =\frac{\text { Deaths }}{\text { "Births" }} \\
q & =\frac{\sum_{j=1}^{N} d_{j}}{N}
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- Consider a cohort of men who turn 20 on $5 / 1 / 1980$
- Track the individuals for 10 years
- Quantity of interest: Proportion dead between times 1 and 2
- Applied as estimators to (time 1) samples: unbiased


## Estimation Problems with Time 2 Samples

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## Vital registration data

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- High mortality sibships: underrepresented
- No sibships with 0 survivors
- Some families counted more than once


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- Sample includes $n$ "survivors" by design


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Trussell and Rodriguez (1990) prove: if mortality is independent of sibship size, all biases cancel: $̆$ g is unbiased.

## Is mortality independent of sibship size?

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| Peru | 2000 |
| :--- | :--- |
| Indonesia | 1997 |
| Burkina Faso | 1998 |
| Benin | 1996 |
| Peru | 1996 |
| Nigeria | 1999 |
| Philippines | 1998 |
| Chad | 1997 |
| Brazil | 1996 |
| Indonesia | 1994 |
| Senegal | 1999 |
| Philippines | 1993 |
| Mali | 1996 |
| Tanzania | 1996 |

## Is mortality independent of sibship size?

## Correlation

| Peru | 2000 | 0.97 | Guinea | 1999 | 0.80 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Indonesia | 1997 | 0.96 | Zimbabwe | 1994 | 0.76 |
| Burkina Faso | 1998 | 0.95 | Nepal | 1996 | 0.75 |
| Benin | 1996 | 0.95 | Cameroon | 1998 | 0.75 |
| Peru | 1996 | 0.95 | Cote D'Ivoire | 1994 | 0.75 |
| Nigeria | 1999 | 0.93 | Togo | 1998 | 0.74 |
| Philippines | 1998 | 0.93 | Eritrea | 1995 | 0.70 |
| Chad | 1997 | 0.93 | Ethiopia | 2000 | 0.71 |
| Brazil | 1996 | 0.92 | Zimbabwe | 1999 | 0.69 |
| Indonesia | 1994 | 0.91 | Colombia | 1995 | 0.52 |
| Senegal | 1999 | 0.90 | Zambia | 1996 | 0.47 |
| Philippines | 1993 | 0.88 | Uganda | 1995 | -0.06 |
| Mali | 1996 | 0.86 | Madagascar | 1997 | -0.19 |
| Tanzania | 1996 | 0.82 |  |  |  |

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- No assumption about sibship size and mortality.
- Two problems addressed separately:
(1) Underrepresentation of high mortality families
(2) Nonrepresentation of families with zero survivors


## Underrepresentation of high mortality families

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(Temporarily assuming no families with 0 survivors)

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- Sibship mortality: $M_{i}=\frac{\text { "Births"-Survivors }}{\text { "Births" }}$


## Underrepresentation of high mortality families

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- Sibship mortality: $M_{i}=\frac{\text { "Births" }- \text { Survivors }}{\text { "Births" }}=\frac{B_{i}-S_{i}}{B_{i}}$


## Underrepresentation of high mortality families

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- Weights are common; quantities of interest that serve as their own weights are not.


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- Direct information in our data about families without survivors: None
- Approach: we extrapolate from families with survivors
- Thus, this part of the answer is more uncertain


## Extrapolation to Deaths in Families with 0 Survivors

Cote D'Ivoire 94


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## Indonesia 94



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For each, create 1,000 data sets, each with $n=1,000$ randomly drawn time 2 survey respondents

## Bias



## Root Mean Square Error



True Mortality
$\operatorname{Corr}(\mathbf{B}, \mathbf{M})=0$


True Mortality
$\operatorname{Corr}(\mathrm{B}, \mathrm{M})<0$


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- Weighting plus extrapolation for families with 0 survivors: $92 \%$ corrected


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- Thus, an equivalent expression for $\hat{q}$ :

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\hat{q}=\frac{\text { Deaths }}{\text { Deaths }+ \text { Survivors }}=\frac{\left[\sum_{i=1}^{n}\left(D_{i} / S_{i}\right)+\hat{\zeta}\right]}{\left[\sum_{i=1}^{n}\left(D_{i} / S_{i}\right)+\hat{\zeta}\right]+n},
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- All the methods we discussed generalize to person-years


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(4) Extensive applications worldwide
(3) Ideally, a new subfield within IR predicting mortality and human misery with war and the predictors of war.

## This paper and other information

## http://GKing.Harvard.edu

